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FROM:

FAX NO. :6179514504 Aug. 12 2005 02:56PM P1

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1	UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS	
2	DISTRICT OF MASSACROSETTS	
3	UNITED STATES OF AMERICA )	
4		
5	vs. CR No. 98-40035	
6	PUGGI VASQUEZ )	
7		
8	BEFORE: The Honorable Nathaniel M. Gorton	
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10	DISPOSITION	
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12	APPEARANCES:	
13	OFFICE OF THE UNITED STATES ATTORNEY (By: Michael Ricciuti, AUSA), One Courthouse Way, Boston,	
14	Massachusetts 02210. On behalf of the Government.	
15	Vincent F. Ricciardi, Jr., Esq., 47 Harvard Street, Worcester, Massachusetts 01609. On behalf of the	
16	Defendant.	
17		
18	United States District Court Courtroom No. 2	
19	595 Main Street Worcester, MA 01608	
20	Wednesday, March 27, 2002 3:45 P.M.	
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22	Cheryl Dahlstrom	
23	Official Court Reporter United States District Court	
24	595 Main Street, Room 514 Worcester, MA 01608-2076	
25	Mechanical Steno - Transcript by Computer	

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1	PROCEEDINGS.
2	THE CLERK: Case No. 98-40035, United States vs. Puggi
. 3	Vasquez. Counsel please note your appearance for the record.
4	MR. RICCIUTI: Good afternoon, your Honor. Michael
5	Ricciuti for the United States.
6 '	THE COURT: Good afternoon, Mr. Ricciuti.
7	MR. RICCIARDI: Good afternoon, your Honor. Vincent
8	Ricciardi for the Defendant Vasquez.
9	THE COURT: Mr. Ricciardi, good afternoon; Mr.
10	Vasquez. We have Miss Piovoso and, I understand, Mr. Bush with
11	her from Probation. Good afternoon to them.
12	MS. PTOVOSO: Good afternoon, your Honor.
13	THE COURT: We're here on the sentencing of Mr. Puggi
14	Vasquez. I have received and read the Presentence Report, the
15	government's sentencing memorandum and the defendant's
16 ,	sentencing memorandum.
17	Is there anything else that I haven't mentioned that I
18	should have received?
19	MR. RICCIUTI: Not from the government, your Honor.
20	MR. RICCIARDI: Not from the defendant, your Honor.
21	THE COURT: Is there any reason why we can't discuss
22	the two submissions in open court, Mr. Ricciardi?
23	MR. RICCIARDI: Judge, to my knowledge, there is no

THE COURT: All right. Then, as I understand it, the

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reason that we cannot.

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only substantive -- well, perhaps there's more than one, but the issues that we have to resolve are whether or not the defendant is entitled to a three-level reduction for acceptance of responsibility and, at the same time, whether or not a two-level increase is warranted for obstruction of justice.

Are those basically the issues?

MR. RICCIUTI: Yes, your Honor.

MR. RICCIARDI: That's correct, Judge.

sentencing memorandum and the defendant's response thereto. I understand the facts in this case and the absconding in August of 2000, until January of this year, and the recommendations that are made to me in accordance with the guidelines that call for both a withholding of the three-level reduction for acceptance of responsibility and the add-on of a two-level increase for obstruction.

I will hear from Mr. Ricciardi with respect to why I shouldn't follow the recommendations that have been made to me.

MR. RICCIARDI: Your Honor, with regard to the acceptance of responsibility, I'd suggest to this Court that Mr. Vasquez, soon after his arrest, accepted full responsibility for his actions in the offenses for which he stood indicted.

He began cooperating with the government almost immediately. That cooperation, Judge, initially involved his

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case and those codefendants involved with his case. U.S. Attorney's Office, they debriefed my client and found some what I consider to be valuable information. First of all, not only did he tell them his role in that investigation which was, I suggest, a very limited role. He came into that investigation towards the end of the window, I would suggest, and he became a pawn in the investigation the DEA was conducting here in Worcester very late in the investigation. He happened to come into it because of a break-up of a relationship which led him to another relationship with Becky Alvarado and her husband. They had been clients of his, and they took him in during the brief period of time. he became involved in this illegal activity. He had no involvement in the activity prior to that point in time.

But, again, immediately after his arrest, he admitted his responsibility and took it upon himself to cooperate. would suggest that what information he gave to the government at that time was very valuable to the government's case. not saying that they did not have a good case or cases against all of the codefendants, but they needed to nail down the amount of certain substances that were attributed to each of the defendants. And through my client, they were able to do And that was done over an extended period of time.

My client had been released on bail, on bond, and conditions of his release were extensive, and he complied with

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all of those conditions, I say. There was one minor incident at issue, but, again, Judge Swartwood found that he was not in violation.

Over a period of time, my client continued not only to accept his responsibility but to offer himself in an attempt to help himself, no question about it. Sometime in May of the year 2000, shortly before his sentence, the government took him up on his proactive cooperation, and he began that cooperation in May of 2000, and he continued on through the summer months after his plea.

At some point in time after he was -- after he was basically put in the custody of the DEA agent involved, Agent Roberto, a number of conditions were placed on him. And during the summer of the year 2000, as he was proactive, he had violated some of those conditions. There's no question about that. He fully admits that.

Agent Roberto approached him at his place of employment, where he should not have been employed, and questioned him about it. At that point my client became afraid that his identity would be known to people that he had been involved with and that his safety was in great danger. He did something that, obviously, he should not have done and he took off. He took off for an extended period of time, almost a year and a half.

Sometime in November of the year -- November or

December of the year 2001, my client contacted me and indicated that he wanted to return to face sentencing. I contacted the U.S. Attorney's Office at that point in time, and they informed me that I should get in contact with the U.S. Marshal's Office. I contacted Agent Taylor at that point. It took a little while, but we were able to arrange for Mr. Vasquez to fly directly from Santo Domingo to New York City where he was met by the marshals and transported here to Massachusetts after he waived in New York.

There were reasons for that. Basically, my client did not want to be detained in Miami because most of the friends were going to Miami. He wanted a direct flight. They were able to get that direct flight and make successful contact with Agent Taylor in bringing him here.

It's not to excuse what he did, your Honor. But I suggest that the reasons for his flight were real to him given his predicament at the time. His realization that he had to come back to face sentencing was something that he decided himself, and he made himself available at little or no expense to the government or little or no work to the government.

I think that those issues should be taken into consideration as to whether this Court should enhance his sentence by two levels because he obstructed justice.

Additionally, those same facts, Judge, I would argue, should lead this Court to reduce the level of his sentence by

three. The facts that I've outlined, I suggest, give you the extraordinary circumstances that the law asks for in finding perhaps obstruction of justice but yet still allowing for a reduction because of the acceptance of responsibility that my client has exhibited.

Present in court today, Judge, just for your information, his father, Doctor Vasquez, and his wife, Lilly Vasquez, here from Puerto Rico. They flew up to visit, to be here to offer their support. His brother, Doctor John Vasquez, is here, a physician in the state of New York. His sister is here. She's a registered nurse in the state of Connecticut with whom Mr. Vasquez resided for a substantial period of time after his arrest. His girlfriend is here with his young child.

Again, his father's health, his son's birth and his acceptance of final -- final acceptance of responsibility to end this case all led him to surrender himself and to be here today. And I would ask you, Judge, not to enhance his sentence by two levels for the obstruction of justice and to reduce the level of sentence by three for all of the acceptance of responsibility, the proactive cooperation, and the future cooperation that my client intends to perform.

As indicated, while he was proactive, there were three arrests made. Three people are under indictment in this court as a result of his proactive cooperation. That, again, should be taken into consideration. He's still a potential witness to

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the government. They've indicated that to me. And I've indicated our position to the government.

Also, just to make note, Judge, I have been in contact with Mr. Ricciuti for several months, and it was still, I thought, a potential for a review by the 5K Committee of the U.S. Attorney's Office. At least I was informed of that. Only, I believe, last Friday when I received the government's presentencing memorandum was I informed not only the plea agreement had been rejected because of his -- my client's flight but that they were not going to even present the case for 5K at this time. But I should also note that the door is still open at some point perhaps for a Rule 35 motion.

Again, given all of that, Judge, I ask for the reduction and ask you not to enhance. Thank you.

THE COURT: All right. Mr. Ricciuti.

MR. RICCIUTI: Your Honor, I agree with some of what Mr. Ricciardi said. Had Mr. Vasquez complied with the conditions of his release and not broken the law, we might be having a different hearing today. It might be a 5Kl hearing. It's not.

What Mr. Vasquez did was to violate the conditions of his release in a fundamental way. He was released at the request of Mr. Ricciardi and the government after he pled guilty, which is something the government rarely does. And it was designed to facilitate Mr. Vasquez continuing to

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proactively cooperate. Mr. Vasquez was placed under some restrictive conditions and placed under the supervision of a DEA agent who is in court today. He was informed of those conditions in court. He even signed the motion, the joint motion, to recommend his release to comply with them.

What occasioned the initial contact that resulted in Mr. Vasquez's flight is information that came to Agent Roberto that Mr. Vasquez had adopted a false name, was living in a place he was not authorized to live and essentially had adopted a new identity.

Agent Roberto went to the business in Westboro, Mass., and there was Mr. Vasquez, under a different name, working at this location, in a state he wasn't supposed to even be in. So the government moved to revoke his conditions at that time for those violations.

I submit to the Court that the only reason why Mr. Vasquez fled was because he saw his 5K in jeopardy. He never communicated to Agent Roberto any fear. He never called the police, the marshals. And it should be noted that Mr. Vasquez had frequent contact with Agent Roberto because Agent Roberto was not only supervising he proactive cooperation; he was supervising Mr. Vasquez in complying with his conditions of release.

Mr. Vasquez then left the country, not just left an immediate location where he saw a threat, not just left even

the state. He left the United States, and he was gone for almost a year and a half. And according to the Presentance Report, Paragraph 73(b), he only came back because he missed his family, particularly in light of September 11th.

I submit to you he didn't come back here to face the music. He didn't come back to this court to own up to what he did. He didn't come back here to wipe the slate clean.

Mr. Ricciardi is true, what he says, that the government did have a 5K1 pending. But I also told Mr. Ricciardi the reasons Mr. Vasquez provided Probation sometime in March -- I believe the report came to the parties sometime after March 20th -- would be relevant to the government's consideration of this case.

The government, frankly, finds the reasons for Mr.

Vasquez's flight unpersuasive. We find the reasons for the length of his absence from the United States unpersuasive and his lack of any communication with any of the agents unpersuasive and, frankly, his reason for coming back to the country. None of them suggests that he has truly accepted responsibility. None of them provides any escape valve, if you will, from the obstructive conduct he's engaged in.

I think Probation simply got it right. What he did was dead wrong. It was in violation of an order imposed by this Court based on an extraordinary motion the government made to allow a drug defendant to walk out of court even after he

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pled, which is an extraordinary step we rarely take. This is not an individual who was faced with any unique threat that he dealt with in an inappropriate way. He left the country. No telling how long he would have been gone, but he was gone for a long time. I think he's earned both two levels up in obstructive conduct, and he's lost the three levels for acceptance.

THE COURT: All right. Before I make my rulings on that, I understand there was one objection to the Presentence Report, Mr. Ricciardi, that had to do with material that was included in the PSR. I take it you agree that that is not -- has no bearing on the substantive scoring of the offense level and the range, the guideline range.

MR. RICCIARDI: That's correct. It was a minor, minor item that I thought was necessary to respond to.

THE COURT: All right. I agree with the government, that this is a case which warrants both the addition of a two-level increase for obstruction of justice -- I don't think there is any more clear demonstration of an obstruction than what this defendant did when he left the country after already having violated his conditions of release, which is not only unusual for the government to ask for but very unusual, as counsel know from this session of the district court, to allow.

I am always reluctant to let someone who has been convicted or pled guilty to a serious crime -- in this case, a

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very serious drug offense -- to allow someone to be released in the custody of a drug enforcement agent or anyone else, and I do it very reluctantly. And this incident inclines me to do it less frequently rather than more frequently. If anything, Mr. Vasquez has hurt the cause of other defendants that would have been allowed to do the same thing because of the kind of conduct that he displayed.

So this is clearly a case which warrants an obstruction of justice add-on; and for the same reasons, he is not entitled to a three-level reduction for acceptance of responsibility. This does not come within the exception of Guideline Section 3C -- no. It's 3E1.1, Footnote 4, that does, under some circumstances, allow for a downward adjustment for acceptance of responsibility even where obstruction of justice is found. It says, specifically, there may be, however, extraordinary cases in which adjustment under both the obstruction of justice and acceptance of responsibility provisions may apply. But I find specifically that this is not such an extraordinary case and that the defendant is not entitled to any adjustment for acceptance of responsibility.

To continue, I need to make certain findings that are recommended to me in the Presentence Report. They start at Page 18, wherein it is recommended that the appropriate guideline that we're dealing with here is 2D1.1, and that because this defendant is being held accountable for the

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equivalent of 767 and a fraction kilograms of marijuana,
Subsection (c)(5) of that guideline applies. And that calls
for a guideline range -- rather, a base offense level of 30.

The way we get to that equivalency of 767 kilograms is that, specifically, this defendant is held responsible for 27.8 grams of cocaine powder arising from the incident that occurred on October 16, 1998; and for the incident that occurred October 29, 1998, he's held responsible for 54.7 grams of heroin, 35.3 grams of crack cocaine, and 6.73 grams of cocaine powder, all of which equate to the equivalent of 767 and a fraction kilograms of marijuana.

Do counsel agree with the Court's calculations?

MR. RICCIUTI: Yes, your Honor.

MR. RICCIARDI: That's correct, Judge.

THE COURT: The Court further finds that, pursuant to Section 2D1.1(b)(6), that the defendant is entitled to a two-level reduction under the so-called safety valve provisions for which he has been deemed to qualify.

Further, the Court finds that the defendant is entitled to another two-level reduction in his offense level because he was a minor participant in the particular criminal activity involved, those previously mentioned, in October of 1998, I believe -- yes, 1998. So that under 3B1.2(b) a further two-level reduction is applied.

As previously held, the defendant will be given a

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two-level upward adjustment for obstruction of justice, under Guideline Section 3C1.1, and is not entitled to a downward adjustment for acceptance of responsibility as previously noted. Therefore, the defendant ends up with a total offense level of 28.

Turning to the defendant's criminal history, there are no prior convictions. There is a pending charge for operating after suspension, but that has been continued without a finding. That does not score. Therefore, the defendant is in Criminal History Category I. And the guideline range for sentencing, therefore, is 78 to 97 months.

I'll hear recommendations for sentencing from the government first. Mr. Ricciuti.

MR. RICCIUTI: Thank you, your Honor. Your Honor, the government leaves the specific sentence to the discretion of the Court, but we argue not for a low-end recommendation. This was a serious case, as the Court has already alluded to. This involved three of the most deadly drugs that we deal with: crack cocaine, heroin and cocaine powder. And Mr. Vasquez, although he came in at the tail end of a conspiracy, he came in at the tail end of a bad one.

Mr. Vasquez poses a very unfortunate case. He's an individual who had prior brushes with the law. The government agrees the Court properly assessed no criminal history points. But he has, at Paragraphs 87 through 91, several appearances,

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one continued without a finding in 1999 and one prior possession of Class B, for which Mr. Vasquez was found not quilty.

Although none of these score, your Honor, this is an individual who was not unknown to the Criminal Justice System. And, in fact, sometime in April of 2000, shortly before he engaged in this conduct, he got a break in district court. Unfortunately, he didn't learn his lesson through that break.

Equally troubling, your Honor, this is an individual who comes from apparently a solid family background, a solid economic background. He was employed in the past. He had gone to school, although he hadn't graduated from the college he attended. This is not an individual without options.

What is most surprising about this case is Mr. Vasquez came in at his arrest. What Mr. Ricciardi said earlier was completely accurate. He provided a proffer the government believed was true. He had certainly gone well down the road to cooperating. And, yet, he threw it all away and continued what appears to be a pattern of conduct that's reflected in the PSR, reflected in the offense of conviction and reflected in his flight, where he just doesn't seem to get the message.

This was a serious case of obstruction. He knew that he had done something wrong when Agent Roberto confronted him. He knew he had used a false name and signed an employment application attesting that what he had said was true and that

was going to negatively affect his ability to cooperate. He knew that he was violating many of the conditions of release that he knew were extraordinary. And in light of that offense, he fled.

This is an individual who certainly had all of the advantages; and, yet, in addition to committing those offenses, he even threatened the individual whom he believed was the one who told on him to Pretrial Services.

For all those facts, your Honor, he's just not a low-end offender. We leave the specific sentence to the discretion of the Court, but we believe that this is an individual who doesn't seem to understand the gravity of the offenses he continues to commit.

THE COURT: Mr. Ricciardi.

MR. RICCIARDI: Judge, first, let me address his lack of criminal history. We have an operating after suspension that was continued without a finding, minor infraction; leaving the scene of a property damage accident, dismissed, nothing there; compulsory insurance violation, date of offense same as the preceding, dismissed, nothing there.

Counsel seems to indicate that in 1998 when he was charged with possession of Class B controlled substance that you should take that into consideration. Judge, he was found not guilty. That wasn't dismissed. That was not guilty. I represented him on that case. And I'll tell your Honor that he

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was arrested on a warrant for, I believe, leaving the scene or the larceny case that you see here listed just beyond that. While he was renovating an apartment, plaster dust was found on him, and they charged him with possession of cocaine based on the plaster dust. Obviously, the plaster dust, after analysis, came back a no controlled substance. So, Judge, I would suggest Mr. Ricciuti's suggestion to you that you should take that into consideration is utterly devoid of any merit.

Additionally, I would ask you to look at the codefendants in this case and the sentences that they received and to weigh those sentences with regard to my client. Raphael Ventura received a sentence of 180 months, career offender, person with a terrible record. Becky Alvarado, a person with no record, received 60 months. Her involvement in this case was tenfold to my client's. William Diaz has defaulted. Mr. Oliveras, another career offender type, 121 months, terrible criminal record. Then you have Mr. Garcia, 37 months; and Wanda Justiniano, 60 months. To place my client anywhere beyond the low end of Level 28, Judge, T would consider to be not a fair sentence.

Again, I should tell your Honor that this investigation was a fairly lengthy investigation and that my client only came into the picture in a very small window. His involvement on the 16th of October of that year was culpable but yet minimal. He acted as a person who provided information

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to the cooperating informant, cooperating witness, and he perhaps acted as a courier in one instance in transporting some narcotic substance. And on the 29th, what was found as a result of search warrants and other conversations, again, he was credited with being responsible as a joint venturer with those other individuals.

This isn't a person who hasn't gotten the lesson,

Judge, who doesn't know the picture that he's involved with.

He's very well aware of it. He was told -- he called me -
that anything could happen with him and his case. Yet, he

still decided to surrender himself, and he did so in the most

convenient way to the government.

I would suggest, Judge, that if you're going to sentence him at Level 28 that you sentence him to the minimum, which is a very, very severe sentence for a first-time offender, very severe for Mr. Vasquez. And what, if anything, could be done hereafter, I don't know. But I would suggest the low end to be the fair end here. Thank you.

THE COURT: Does counsel wish -- rather, does the defendant wish to address the Court before sentence is imposed?

MR. RICCIARDI: I informed him that you would be asking that question. May I just ask him?

THE COURT: Yes.

(Discussion held off the record.)

MR. RICCIARDI: Judge, he informs me he's just Loo

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nervous and too anxious to address the Court at this time.

THE COURT: Do counsel know of any reason why sentence ought not to be imposed at this time?

MR. RICCIUTI: No, your Honor.

MR. RICCIARDI: No, your Honor.

THE COURT: Mr. Vasquez, please stand. This is, as the government said, a sad, unfortunate case, where somebody with your opportunity throws away that opportunity and participates in a horrendous crime involving the distribution of deadly drugs in this community which has basically reached a plague stage.

This session of the United States District Court will do all in its power to impress upon those people like you who think that they are going to get away with doing this sort of thing in this community. You had a chance to help the government. You got an extremely fortunate break in being allowed to have your liberty in agreement to cooperate with the government proactively. And you violated your conditions even before you became a fugitive in a way that, as I've said before, may impact upon the reaction that this Court will have in the future when it's asked to allow convicted drug distributors to have their liberty.

So you've caused a great deal of harm, but that harm —— the reason for that harm and the one who's to blame for that harm is the person you see in the mirror. And I am going to

try to impress upon you by the sentence that I impose now that you'd better get it. If you don't get it this time, the next time you appear before me or any other judge in this United States District Court, you'll be going to jail for the rest of your life.

Pursuant to the Sentencing Reform Act of 1984, it is the judgment of this Court that you, Puggi Vasquez, are hereby committed to the custody of the Bureau of Prisons, to be imprisoned for a terms of 87 months. This term consists of terms of 87 months on each count, to be served concurrently.

Upon release from imprisonment, you shall be placed on supervised release for a term of three years. This term consists of terms of three years on each of the Counts 1, 9 and 10, all such terms to run concurrently.

Within 72 hours of release from the custody of the Bureau of Prisons, you shall report in person to the district to which you are released. While on supervised release, you shall not commit another federal, state or local crime. You shall refrain from any unlawful use of a controlled substance. You shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as directed by the probation officer.

In addition, you shall comply with the standard conditions that are described in the sentencing guidelines at Section 5D1.3(c) and with the following special conditions:

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First, you are prohibited from possessing a firearm or other dangerous weapon.

Second, you are to participate in a program for substance abuse, as directed by the United States Probation Office, which program may include testing to determine whether you have reverted to the use of alcohol or drugs. You shall be required to contribute to the costs of the services for such treatment based upon your ability to pay or the availability of third-party payment.

Third, you are to use your true name and are prohibited from the use of any aliases, false dates of birth, false social security numbers, incorrect places of birth and any other pertinent, incorrect, identifying information.

It is further ordered that you shall pay to the United States a special assessment of \$300 which shall be due and payable immediately.

Mr. Vasquez, you have a right to appeal this sentence. If you choose to appeal, you must do so within ten days. If you cannot afford an attorney, an attorney will be appointed on your behalf. Do you understand that? I have to have a --

MR. VASQUEZ: Yes.

THE COURT: -- an oral response that I can hear.

MR. VASQUEZ: Yes.

THE COURT: Anything else?

MR. RICCIARDI: Your Honor, if I may ask, if my client

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is eligible for a drug program within the Department of Corrections, may you order that? MS. PIOVOSO: One moment please, your Honor? THE COURT: Yes. MS. PIOVOSO: Your Honor, the defendant did not report a history of substance abuse. He will not be considered for a program by the Bureau of Prisons. THE COURT: Then that request is denied. Any further business to come before the Court? MR. RICCIUTI: No, your Honor. THE COURT: We're adjourned. THE CLERK: The defendant is remanded to the custody of the United States Marshal. (Whereupon, at 4:17 p.m. the disposition concluded.) 

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States District Court, do hereby certify that the foregoing

transcript, from Page 1 to Page 22, constitutes, to the best of

my skill and ability, a true and accurate transcription of my

stenotype notes taken in the matter of Criminal Action No.

98-40035, United States of America vs. Puggi Vasquez.

I, Cheryl Dahlstrom, Official Reporter of the United

## CERTIFICATE

FROM:

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